## JC03 Rec'd PCT/PTO 2 5 OCT 2001

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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER SP-1721.2 US DESIGNATED/ELECTED OFFICE (DO/EO/US) 199 MANG. (Hatmown see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED 29 April 2003 INTERNATIONAL FILING DATE 28 April 2004 INTERNATIONAL APPLICATION NO. PCT/AU 2004/000544 TITLE OF INVENTION A LIGHTING DEVICE APPLICANT(S) FOR DO/EO/US Dalton, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. $\boxtimes$ (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. X A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. X is attached hereto (required only if not communicated by the International Bureau). A has been communicated by the International Bureau. b ☐ is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. is attached hereto. ☐ has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) $\boxtimes$ are attached hereto (required only if not communicated by the International Bureau). $\boxtimes$ have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 10. Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🛛 A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. ☒ A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## JC06 Rec'd PCT/PTO 25 OCT 2005

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U.S. APPLICATION NO- (if known see 87 CFR 1.5)	INTERNATIONAL APP PCT/AU 2004				RNEY'S DOCKET NUMBER SP-1721.2 US	
20. X Other items or information:						
Express Mail No. ED 562118652 US						
Return Receipt Post Card						
			1		DTG 1105 G111 V	
The following fees are submitted:				ALCULATIONS	PTO USE ONLY	
21.  Basic national fee (37 CFR 1.492(a))			00 \$	\$300.00		
22. X Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination						
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						
All other situations. \$200			0 \$	\$200.00		
23						
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0						
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the						
I USPTO as an International Searching Authority						
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400						
All other situations			0 \$	\$400.00		
TOTAL OF 21, 22 and 23 =			\$	\$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing an						
electronic medium) (37 CFR 1.492(j)).						
The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets			+			
	Extra Sheets   Number of each additional 50 or fraction   RATE   thereof (round up to a whole number)					
,			<del>.   -</del>	22.22		
- 100 = 0 /50 =	0 .	x \$250.	00 \$	\$0.00		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						
CLAIMS NUMBER FILED NUMBER EXTRA RATE			Ť			
Total claims 3 - 20 =	0	x \$50	00 \$	\$0.00		
Independent claims 1 - 3 =	0	x \$200	00 \$	\$0.00		
Multiple Dependent Claims (if applicable)		+ \$360.00	\$			
TOTAL OF ABOVE CALCULATIONS =			= \$	\$900.00		
☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			\$	\$0.00		
SUBTOTAL =			= \$	\$900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$			
TOTAL NATIONAL FEE =			= \$	\$900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						
TOTAL FEES ENCLOSED =			=   \$	\$ \$900.00		
				mount to be efunded:	\$	
				mount to be harged:	\$	

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